

### List of execution venues and brokers

The list of execution venues and brokers on which the Firm places significant reliance in meeting its obligation to obtain, on a consistent basis, the best possible outcome when executing or transmitting orders for our clients is as follows. This list may be subject to change, we will not notify you specifically of any changes.

| Venue                | Geographical location | Instruments traded                             | Specialisation | Regulated? By? |
|----------------------|-----------------------|--|----------------|----------------|
| Barclays             | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| BNP Paribas          | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Citi                 | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Commerzbank          | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Credit Agricole      | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Credit Suisse        | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Deutsche             | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| HSBC                 | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| JP Morgan            | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Nomura               | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Goldman Sachs        | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Societe Generale     | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| UBS                  | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Matrix Securities    | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| Morgan Stanley       | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| RBS                  | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |
| TFS Derivatives Ltd. | London                | OTC Financial Instruments,<br>Cash Instruments | N/A            | Yes , FCA      |